

Appendix A

European Edge Cities Network Social Economy Mapping Exercise

Contents

Note to Edge Cities partners on the Social Economy Mapping Exercise –
Introduction

Questionnaire

Note to Edge Cities partners on the Social Economy Mapping Exercise

Introduction

The Edge Cities Social Economy Sub Group at its meeting in Croydon on Thursday 16th September 2004 agreed to organise a mapping exercise of the social economy in the participating partner areas. This mapping exercise will be led by Fingal and will be organised and correlated by the BASE Enterprise Centre, Fingal. The following notes are aimed at giving guidelines to the partners to allow them to facilitate this mapping exercise in a short period of time. The objective is to have all questionnaires returned to Ken Germaine, BASE Enterprise Centre, **on or before Friday 29th October 2004**. If this is done then a summary report can be made to the Edge Cities Meeting in November. Your cooperation in ensuring the return of questionnaires by the closing date above is of the highest importance.

What should each partner do?

Each partner engaging with the mapping exercise should do the following:

- Appoint a staff member to coordinate the dissemination and collection of questionnaires (and translations if necessary)
- Translate the short questionnaire if necessary
- Identify the local organisations and individuals within each organisation who have the information required
- Disseminate the questionnaires
- Be available to explain the questionnaires and objectives to respondents
- Organise to collect the questionnaires and forward them to BASE.
- Translate the responses into English, if necessary.

Who should receive the questionnaires?

This social economy consists of many component parts. Some organisations operate in the mainstream economy; some operate within the healthcare sector, some operate within the childcare sector, others within the environmental sector. So in order to get a broad picture of the social economy in each partner area we are asking the relevant professionals working within each sector to be surveyed; for example:

- Social economy officers
- Enterprise Support Officer/Workers
- Environmental support staff
- Healthcare support officers/workers
- Childcare support officers/workers
- Community Development officers/workers
- Cooperative Development officers/workers

What do we want respondents to do?

Ideally we want each professional/officer/worker to fill in a sheet for each organisation that they know who meets the criteria set down (see note with questionnaire). They may fill it in themselves or get the relevant organisation to fill it in. However, we would ask that the respondents return the completed questionnaires to the local coordinator a week before the closing date for return of questionnaires, so that any translation work can be done.

How can questionnaires be returned?

We would ask that questionnaires be returned together, rather than one at a time. We would ask that the questionnaires be translated into English if necessary. Either hard copy or electronic copies of the completed

questionnaires can be returned. Please make back up copies just in case information gets lost in transit.

Where can further information or completed questionnaires be sent?

The survey is being coordinated by:

Ken Germaine,
BASE Enterprise Centre,
Ladyswell Road,
Mulhuddart,
Dublin 15,
Republic of Ireland.

Phone 00 353 1820 30 20

Fax 00 353 1820 94 69

E-mail germaink@tcd.ie

Closing date for return of questionnaires is **Friday 29th October 2004**

Edge Cities Network

Third System/Social Economy Mapping Exercise

Introduction

The Edge Cities Network is a network of local authorities and municipal authorities and associated organisations and agencies, interested in alleviating the marginalizing effects of living and working on the periphery of large capital cities.

One important area of interest to the network is the work of community and voluntary based organisations providing services to disadvantaged areas, or organisations who through their work capacity build those from disadvantaged backgrounds. The European Union would refer to those organisations as being part of the **Third System**, but many refer to these organisations as being part of the **social economy**. The Edge Cities Network uses the following definition; "Social Enterprises are competitive businesses, owned and trading for a social purpose. They seek to succeed as businesses by establishing a market share and making a profit. Social Enterprises combine the need to be successful businesses with social aims. They emphasise the long-term benefits for employees, consumers and the community."

This exercise is aimed at trying to map out those groups or organisations with an interest in this area. Many groups actively involve themselves in these activities but have not yet defined themselves as social enterprises. So, we are trying to develop a list of organisations who meet the criteria below and who could be defined as a **'community of interest in meeting social objectives through economic activity'**. This survey is being sent to:

- Community Development Professionals in Edge Cities area
- Enterprise Support Professionals in Edge Cities area
- Social Economy Support Professionals in Edge Cities area

- Childcare Support Professionals in Edge Cities area
- Healthcare Support Professionals in Edge Cities area
- Environmental Support Professionals in Edge Cities area
- Co-operative Development Support Professionals in Edge Cities area

The aim is to include as many relevant community based organisations as possible that meet the following criteria and thus who can be described as being included in our community of interest as defined above. The criteria are as follows:

Any organisation that fulfils most of the following criteria should be included in the survey responses.

- Any community-based organisations with a **traded income**.
- The primary objective of the organisation is to achieve specific social objectives (although the organisation may operate a financial surplus)
- Is community based or owned (this excludes state agencies, semi-state organisations, local government organisations or private companies whose primary objective is for profit)
- That the organisation has a clearly identified community of interest which it is trying to assist or support
- Any organisation using intermediate-labour market programmes to achieve their objectives
- Any community or voluntary oriented organisation providing services through public sector contracts or tenders
- Any community or voluntary oriented organisations that charges for goods or services, even if only a small percentage of its income comes from this.
- The legal structure does not matter once the organisation is meeting the above criteria

We would ask that you fill in a response sheet for each organisation you identify as meeting the above criteria. We appreciate that you may get many questionnaires to complete, but this mapping exercise is important, as it will

assist the network in developing actions and programmes to support this growing sector.

If you have any questions or queries, or if you wish to return completed survey questionnaires, you can contact:

Kenneth Germaine,
BASE Enterprise Centre,
Ladyswell Road,
Mulhuddart,
Dublin 15,
Republic of Ireland.

Tel. 00 353 1820 30 20
Fax. 00 353 1820 94 69
e-mail info@base-centre.com
or
germaink@tcd.ie

Thank you for your co-operation

Edge Cities Network, Social Economy Mapping Exercise

Questionnaire

Name of Organisation _____

Address _____

Tel _____

e-mail _____

What is the main activity of the organisation? _____

How many employees does it have? _____

What legal structure does the organisation have? _____

Who runs/owns the organisation? _____

Roughly what percentage of the organisations income is 'traded income' and from what general sources?

Appendix B

Survey of policy and decision makers

Contents

Cover letter to interviewees

Notes of semi-structured interview

<Geography Department Headed Paper>

**BASE Enterprise Centre,
Michael Galvin Building,
Ladyswell Road,
Mulhuddart,
Dublin 15.
Ph. 01-820 30 20**

<date>

Dear <name>,

The third system or the social economy is an area of increasing interest which has seen policy impetus both at a European and National level in the past few years. I have worked in this sector for many years and as a result of my interest in this area I am conducting a thesis to PhD level on the underlying factors and effects of the system in the Irish context. As part of this research I am conducting interviews with a number of policy and decision makers who are, or have had, a responsibility for this area. As part of my research I am requesting an interview with you with regard to obtaining your opinions to certain questions relating to the third system or social economy. This will be a short semi-structured interview which will ascertain your opinions in relation to the following areas:

- Social influences on the system, specifically what social factors affect the development and operation of social enterprise in Ireland? These broad questions are being asked to get a context.
- The social economy itself. What underlying factors do you believe are driving the system.
- National and EU policy in relation to the social economy, what is the current policy strategy, is EU or national policy more influential, what role has social partnership had, and finally what are the lessons learned from the implementation of the National Social Economy Programme.
- The extent of what has been called the 'enterprise state', the role of market-driven factors like fiscal responsibility, value for money and PPP.
- The future direction; where does the social economy go from here.

This survey is for purely academic purposes; any statements made or information given will be used for purely statistical/analytical purposes. No cited quotations will be used without the expressed and prior consent of the respondent.

I would like the opportunity to phone you in a few days and ask that you consent to a short interview. Your assistance in this regard is most appreciated,

Regards,

Kenneth Germaine

Notes for semi-structures interviews with policy and decision makers

1. Social influences on the system, specifically what social factors affect the development and operation of social enterprise in Ireland?

- What do you see as the current policy priorities in relation to social policy and social inclusion policy?
- What do you see as the current economic policy priorities?

2. The social economy itself. What underlying factors do you believe are driving the system?

- How would you define the role of the social economy?
- What potential do you see for the social economy, especially in relation to the priorities already discussed?
- Who has driven the recent development in the social economy (EU, national or from within sector)?
- What do you perceive the contribution of the social economy in Ireland has been?
- Do you see the social economy as having a role in achieving social change/social justice?
- Do you think the social economy had developed and grown in the last 10 years?
- Do you think there is clarity amongst policy and decision makers regarding the social economy; its definition, role and contribution?

3. National and EU policy in relation to the social economy,

- What is the current national strategy in relation to the social economy?
- Would there have been a national impetus without EU policy?
- What role has social partnership played, if any, in relation to the development of the social economy in Ireland?
- What role has the NSEP programme played with regard to the social economy in Ireland?
- Has the NSEP been successful?
- What lessons have been learned from the NSEP?

4. The extent of what has been called the 'enterprise state'.

- What role does VFM now play in relation to government funded social programmes, especially those of interest to the social economy?
- Market failure is a term used to describe a situation where there are social needs in a community and the market for whatever reason cannot meet this demand. Can you recognise any situations where this exists and what options do you see for meeting this demand?

- What are the relative strengths and weaknesses of the social economy in meeting these needs, compared to the two main alternatives; direct public provision or contracting to the private sector?
- The Irish state operates within what academics call an enterprise state, where government is influenced by private sector ideas like, PPP, VFM and fiscal responsibility. Do you recognise this as true and do you see these as positive or negative influences and what are the implications for the social economy if any?

5. The future direction; where does the social economy go from here.

- What do you see as the future for the social economy in Ireland?
- What do you see as the future of the NSEP?
- What supports do you see the public sector providing for the social economy moving forward?
- Who should be responsible for policy in relation to the social economy; i.e., which government department, agencies etc.

Finally, do you have any comments or other points that you feel are relevant and we have not discussed?

Appendix C

Social economy networks questionnaire

Contents

Cover letter to social enterprises

Questionnaire

<Geography Department Headed Paper>

**BASE Enterprise Centre,
Michael Galvin Building,
Ladyswell Road,
Mulhuddart,
Dublin 15.
Ph. 01-820 30 20**

<date>

Dear Colleague,

It has been as a manager of a social enterprise and a member of several social economy networks that I developed an interest in learning more about the social economy in Ireland. To this end I am currently working on a thesis to PhD level in TCD with the objective of defining and examining the underlying factors and motivations driving the social economy in the Irish context. At the end of this process I would hope to develop a model of the social economy in Ireland that may help practitioners and policy makers alike understand the sector better.

As part of this process I am surveying the members of several social economy networks; all of whom are knowledgeable practitioners and can assist me in obtaining a broad picture of the system at the current time. This survey by questionnaire is for purely academic purposes; any statements made or information given will be used for purely statistical/analytical purposes. No cited quotations will be used without the expressed and prior consent of the respondent.

I am only too well aware of the numbers of surveys, questionnaires and reporting systems you are asked to complete on a regular basis. I would ask you to prioritise the completion and return of this short questionnaire. The report of this survey will be made available to the social economy networks and may be of practical use as baseline data for future plans, applications etc.

The questionnaire can be returned in person, by post, fax or e-mail to the contacts below. I am hoping to complete this survey before the end of <date>. Your assistance in this regard is greatly appreciated. If you have any questions or queries please feel free to contact me at the following contacts:

Kenneth Germaine, BASE Enterprise Centre, Ladyswell Road, Mulhuddart, Dublin 15.	Phone 00 353 1 820 30 20 Fax 00 353 1 820 94 69 E-mail germaink@tcd.ie
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Regards,

Kenneth Germaine

Questionnaire of social economy networks in Ireland

This questionnaire is being conducted as part of a doctoral research thesis in the University of Dublin, Trinity College. The aim of this questionnaire is to assess the attitudes of members of social enterprise networks in Ireland, so as to help identify the underlying mechanisms at work in the Irish social economy. The questionnaires are purely for academic use and will not be used for any other purpose. No identifiable quotes will be used without your prior consent. If any of your responses are used to illustrate any point otherwise, then these comments will be cited anonymously. I appreciate that you may be asked to fill in many questionnaires but I would be grateful if you could make a special effort for this academic exercise. If you have any questions or queries please feel free to contact me on 01-8203020 or by e-mail at germaink@tcd.ie.

**Thank you for your cooperation,
Kenneth Germaine,
Department of Geography,
TCD,
Dublin 2.**

Name	Network	
Organisation	Address	
Phone	Fax	
E-mail		
How long have you held your current position?	How long have you been involved in the social economy?	

General details about your organisation

Q1 What goods and services do you provide?

Q2 What are the main social objectives of your organisation?

Q3 What target groups/communities of interest does your organisation serve?

Q4 Why was this organisation established (was there a specific reason/event)?

Q5 Why was the organisation established as a social enterprise?

Q6 Do you use volunteers in any of the following capacities?

- On Board of Management
- In management/supervision
- Delivering goods and services
- Fundraising

Q7 What legal structure does your organisation have?

- Company limited by Guarantee
- Company limited by shares
- Co-operative
- Mutual society
- Trust
- Other

Q8 What is the composition of your Board?

Representing	Number(s)
Representatives of Target Group/Community of interest	
Local / Community Development organisations (Partnership companies, CDPs, CDTs etc.	
Local Authority or County/City Development Boards	
Government agencies (FAS, DSFA, City/County Enterprise Board, Health Authority etc.)	
Local Business or business networks	
Trade Unions/social partners	
Education (VEC, Institutes of Educations, schools etc.)	
Financial Institutions (Banks, Credit Unions etc.)	
Other, Please specify	
Total	

Funding mix

Q9 Can you give a rough breakdown of your funding mix?

Sources of Income	%
Direct or Traded income (from supply of goods and services)	
Public sector tenders or contracts	
Public sector Grant-aid	
Private donations/fundraising	
Other, please specify	

Q10 What are the sources of your grant aid?

Q11 Can you give a projection of your direct/traded income for 2004?

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Attitudinal Questions

Q12 For a social enterprise, achieving social objectives is

More Less Equally as

Important than achieving economic objectives.

Q13 Should campaigning for positive social change/social justice be of importance to a social enterprise?

Yes No Unsure

Q14 The process of 'social partnership' has had a

Positive Negative Neutral No Opinion

Influence on the development of social enterprise in Ireland.

Q15 In relation to volunteerism please tick true or false to the following comments?

	True	False	Unsure
Volunteerism has declined compared to 20 years ago.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Patterns of volunteerism have changed in Ireland compared to 20 years ago.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Today, volunteers are more discerning about the type of work they will do.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Today, people will make a financial contribution rather than contribute time.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Q16 In regard to the following set of questions are social enterprises stronger or weaker than other forms of social organisation with regard to...?

	Stronger	Weaker	Unsure
Delivering goods and services to disadvantaged groups	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Campaigning for social reform	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- Sustaining community-based services
- Protecting social assets/buildings
- Empowering disadvantaged people/groups
- Providing a platform for public consultation

Q17 Which of the following factors are important to the development of the social economy in Ireland, in your opinion? Please circle the number that most suits your opinion, where 1 is unimportant and 5 is very important; otherwise tick the 'unsure' box.

The existence of grant-aid to support the social economy
 -- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 -- Unsure
 Unimportant Very Important

Strong 'community development' structures in the local area
 -- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 -- Unsure
 Unimportant Very Important

Supportive/Pro-active local authorities
 -- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 -- Unsure
 Unimportant Very Important

Effective local partnership arrangements
 -- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 -- Unsure
 Unimportant Very Important

Strong links to local business organisations/chambers of commerce
 -- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 -- Unsure
 Unimportant Very Important

Personal commitments from individuals or small groups of committed individuals
 -- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 -- Unsure
 Unimportant Very Important

A strong sense of 'local community' or 'local cohesion'
 -- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 -- Unsure
 Unimportant Very Important

Value for Money considerations

-- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 --
Unimportant Very Important

Unsure

Local political support by public representatives

-- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 --
Unimportant Very Important

Unsure

A situation where the market has failed to meet local needs.

-- 1 -- 2 -- 3 -- 4 -- 5 -- 6 -- 7 --
Unimportant Very Important

Unsure

Appendix D

In-depth Case Studies

Contents

Cover letter to social enterprises

Notes of semi-structured interview

<Geography Department Headed Paper>

**BASE Enterprise Centre,
Michael Galvin Building,
Ladyswell Road,
Mulhuddart,
Dublin 15.
Ph. 01-820 30 20**

<date>

Dear <name>,

The third system or the social economy is an area of increasing interest which has seen policy impetus both at a European and National level in the past few years. I have worked in this sector for many years and as a result of my interest in this area I am conducting a thesis to PhD level on the underlying factors and effects of the system in the Irish context. As part of this research I am conducting a number of in-depth case studies on particular social enterprises. I am therefore hoping to conduct interviews with members of the relevant Board of Management/Directors. As part of my research I am requesting an interview with you with regard to obtaining your opinions to certain questions relating to the third system or social economy. This will be a short semi-structured interview which will ascertain your opinions in relation to the following areas:

- The rationale for the formation of your social enterprise
- The current operational position and short-term outlook of your social enterprise
- The future in the medium-long term

This survey is for purely academic purposes; any statements made or information given will be used for purely statistical/analytical purposes. No cited quotations will be used without the expressed and prior consent of the respondent.

I would like the opportunity to phone you in a few days and ask that you consent to a short interview. Your assistance in this regard is most appreciated,

Regards,

Kenneth Germaine

Notes for semi-structures interviews with Board members in case studies

The rationale for the formation of your social enterprise

- How long have you been involved with the social enterprise?
- What is your understanding of why the social enterprise was established?
- What target group/community of interest do you see as most important to the social enterprise?
- Who were the most important people/organisations behind the establishment of the social enterprise?
- In hindsight, what do you think motivated these people/organisations?
- Why do you believe the social enterprise was established as a social enterprise; as compared to another form of organisation?
- Where there any specific 'local-factors' in the establishment of the social enterprise?

The current operational position and short-term outlook of your social enterprise

- How effective is the social enterprise in achieving its aims?
- All social enterprises have social and economic objectives. Can you identify the main social and economic objectives of the social enterprise?
- Is the social enterprise financially stable at present?
- Are there any elements of the current funding mix over which you have concerns?
- What influence has social partnership had on the social enterprise in your opinion?
- Are there any specific social changes over the past few years that are changing the mission or operations of the social enterprise?
- Did the NSEP have an effect on the social enterprise, and if so what type of influence did it have?
- Who do you think is driving the social enterprise at the moment?
- What keeps driving the social enterprise?
- Market failure is a term used to describe a situation where there are social needs in a community and the market for whatever reason cannot meet this demand. Do you see the social enterprise as operating in a market failure situation, or what part of its operations is 'market-failure' driven?

The future in the medium-long term

- What future do you see for the social enterprise?
- How will financial sustainability be achieved?
- If a surplus is generated, what uses will it be put to?
- What support can the government be in the future to the social enterprise?

- Do you fear that economic considerations may overrun the social mission of the social enterprise, and if so how can this be addressed?
- What future do you see for the social economy generally in Ireland?

Finally, do you have any comments or other points that you feel are relevant and we have not discussed?

Appendix E

Geographic breakdown by county for Social Economy Network Survey

Dublin

Dublin City
Dublin County

Rest of East

Meath
Louth
Kildare
Wicklow
Wexford
Carlow

Midlands

Kilkenny
Offaly
Laois
Westmeath
Longford

Northwest

Donegal
Monaghan
Leitrim
Cavan
Sligo

South

Cork
Waterford
Kerry
Tipperary

West

Galway
Limerick
Roscommon
Mayo
Clare